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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/749,590

12/28/2000

Fumio Ohtake

001752

CONFIRMATION NO. 4831

FORMALITIES LETTER

OC00000005749610

23850 ARMSTRONG,WESTERMAN, HATTORI, MCLELAND & NAUGHTON, LLP 1725 K STREET, NW, SUITE 1000 WASHINGTON, DC 20006

Date Mailed: 02/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 840.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Fumio OHTAKE et al.

Serial No.:09/749, 590

ATTN: BOX MISSING PARTS

Filed: December 28, 2000

Group Art Unit:2811

FOR: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commisssioner for Patents Washington, D.C. 20231

May 2, 2001

Dear Sir:

In response to the Notice to File Missing Parts of Application dated February 9, 2001, enclosed for filing is an Executed Declaration and Power of Attorney for the above-identified patent application.

Also enclosed is our check in the amount of \$840.00, for the government filing fee of \$710.00, and the surcharge fee of \$130.00 for filing the late Declaration. In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI McLELAND & NAUGHTON, LLP

Le-Nhung McLefand
Attorney for Applicants
Reg. No. 31, 541

Atty. Docket No. 001752 Suite 1000 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930 LNM/srb